



water & sanitation

Department:
Water and Sanitation
REPUBLIC OF SOUTH AFRICA

EdTM

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Reference: 24/1/2

MINISTER OF WATER AND SANITATION

NATIONAL ASSEMBLY: QUESTION 2318 FOR WRITTEN REPLY

A draft reply to the above mentioned question asked by Ms D Robinson (DA) is attached for your consideration.


ACTING DIRECTOR-GENERAL

DATE: 01/11/2016

DRAFT REPLY APPROVED/AMENDED

MRS NP MOKONYANE
MINISTER OF WATER AND SANITATION

DATE: 16.11.16

NATIONAL ASSEMBLY

FOR WRITTEN REPLY

QUESTION NO 2318

DATE OF PUBLICATION IN INTERNAL QUESTION PAPER: 21 OCTOBER 2016
(INTERNAL QUESTION PAPER NO. 31)

2318. Ms D Robinson (DA) to ask the Minister of Water and Sanitation:

Whether any (a) internal and/or (b) external forensic reports pertaining to (i) her department and/or (ii) each entity reporting to her were completed from 1 January 2009 up to the latest specified date for which information is available; if not, in each case, why not; if so, what is the (aa) name, (bb) subject matter and (cc) date of conclusion of each of the specified forensic reports?

NW2650E

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REPLY:

Refer to **Annexure A** for the internal and/or external forensic report pertaining to my Department and each entity.

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Annexure A

Entity	(a)(ii)	(b) (ii)	(aa)	(bb)	(cc)
Department:	Yes 245 internal reports	Yes 28 external reports	Refer to Appendix A & B	Refer to Appendix A & B	Refer to Appendix A & B
Amatola Water	None	None	None	None	None
Bloem Water	None	None	None	None	None
Lepelle Northern Water	None	None	None	None	None
Magalies Water	None	None	None	None	None
Mhiathuze Water	1 internal forensic report	1 external forensic report	<ul style="list-style-type: none"> Dukuduku Report (internal forensic report) ENS Report(external forensic report) 	<ul style="list-style-type: none"> Allegations of Financial Misconduct relating to the Dukuduku project (internal) Forensic Investigation Relating to allegations of misconduct by the Chief Executive Officer(external) 	<ul style="list-style-type: none"> 2014 (internal) November 2015(external)
Overberg Water	Forensic Investigation into alleged irregularities relating to non-compliance with corporate governance norms and standards and other related irregularities	None	GFIA report	Forensic Investigations	19 July 2016
Rand Water	Rand completed	Rand completed	Internal and External Forensic Investigation	Forensic Investigations	June 2009; June 2010;

Forensic Investigation cases

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
1	Internal Forensic Investigation Report	9920090428053454	Alleged Unethical Behaviour at RDM	30 Apr 2012
2	Internal Forensic Investigation Report	99 20090515 121501	Alleged corruption	30 Apr 2012
3	Internal Forensic Investigation Report	99 20090518 023624	Alleged corruption - additional information	30 Apr 2012
4	Internal Forensic Investigation Report	99 20090922104247	Unethical Behaviour	26 Jun 2013
5	Internal Forensic Investigation Report	99 20091210041554	Alleged corruption and nepotism	23 Jul 2010
6	Internal Forensic Investigation Report	3/6/3/2/1/0910/3	Loss of BID documents	09 Sep 2009
7	Internal Forensic Investigation Report	3/6/3/2/1/0910/5	Alleged Corruption (Water Services)	04 Jul 2014
8	Internal Forensic Investigation Report	3/6/3/2/1/0910/6	Alleged Corruption & abuse of power	04 Apr 2012
9	Internal Forensic Investigation Report	3/6/3/2/1/0910/7	Officials dealing with own Department	16 Oct 2010
10	Internal Forensic Investigation Report	3/6/3/2/1/0910/15	Review of Transfer payments	27/08/2010
11	Internal Forensic Investigation Report	3/6/3/2/1/0910/16	Information Technology Funds committed against wrong component	16/09/2009
12	Internal Forensic Investigation Report	3/6/3/2/1/0910/17	Review of Contingent Liabilities	20/05/2010
13	Internal Forensic Investigation Report	3/6/3/2/1/0910/18	Procurement irregularities	01/09/2009
14	Internal Forensic Investigation Report	3/6/3/2/1/1011/8	Corruption Allegations towards IT Director	30/08/2010
15	Internal Forensic Investigation Report	3/6/3/2/1/1011/10	Clearing of inventory suspense accounts	21/09/2010
16	Internal Forensic Investigation Report	3/6/3/2/1/1011/11	Use of pool vehicles	21/09/2010
17	Internal Forensic Investigation Report	3/6/3/2/1/1011/14	Money Lender at Department Nhlapo	15/09/2010
18	Internal Forensic Investigation Report	3/6/3/2/1/1011/18	Unethical and indecent behaviour at Botshelo Water.	22 Jun 2012
19	Internal Forensic Investigation Report	3/6/3/2/1/1011/19	Alleged failure to register and manage the Department assets at working for water	22 May 2012
20	Internal Forensic Investigation Report	9920100223012442	Alleged procurement Irregularities	30 September 2010
21	Internal Forensic Investigation Report	3/6/3/2/1/1011/4	Alleged lost of payment voucher for sanitation	04 August 2011
22	Internal Forensic Investigation Report	3/6/3/2/1/1011/13	S&T Fraud at Corporate Services	30 September 2011

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
23	Internal Forensic Investigation Report	3/6/3/2/1/1011/15	Investigation into Sports and recreation operational imperatives	16 February 2011
24	Internal Forensic Investigation Report	9920090213084535 & 9920090310115249	Alleged corruption	21 September 2011
25	Internal Forensic Investigation Report	9920090331045934 and 9920091023125914	Alleged procurement irregularities at Durban	16 September 2011
26	Internal Forensic Investigation Report	3/6/3/2/1/0910/20	Fraudulent Orders	29 April 2010
27	Internal Forensic Investigation Report	3/6/3/2/1/0910/22	Deviation from procedure when leasing photocopying machines and printers	23 September 2010
28	Internal Forensic Investigation Report	3/6/3/2/1/1011/6	Fraudulent transactions processed on SAP (WTE)	16 September 2010
29	Internal Forensic Investigation Report	3/6/3/2/1/1011/7	Procurement Irregularities at Pretoria West (WTE)	13 September 2010
30	Internal Forensic Investigation Report	9920100209095144 & 9920100307092105	Alleged Unethical Behaviour	08 December 2010
31	Internal Forensic Investigation Report	3/6/3/2/1/1011/3	Alleged missing photocopier	02 December 2010
32	Internal Forensic Investigation Report	3/6/3/2/1/1011/12	Payment to GCIS by Private Sector	30 October 2010
33	Internal Forensic Investigation Report	9920090618122533	Alleged nepotism	05 May 2011
34	Internal Forensic Investigation Report	9920100708033813	Alleged Appointment Irregularities	25 May 2011
35	Internal Forensic Investigation Report	9920101021092156	Alleged Procurement irregularities and Conflict of interest-Cleaning Contract.	30 August 2011
36	Internal Forensic Investigation Report	9920110119025450	Non payment of invoice-	22 August 2011
37	Internal Forensic Investigation Report	3/6/3/2/1/1011/28	Investigation into bid no W0123. Alleged procurement irregularities at Directorate: Supply Chain Management (WTE)	23 September 2011
38	Internal Forensic Investigation Report	9920091204022721	Investigation into the alleged recruitment irregularities at Groot Marico	05 May 2011
39	Internal Forensic Investigation Report	9920090326051941	Abuse of power and possible corruption (Resolve)	19 April 2011
40	Internal Forensic Investigation Report	9920090429085413 & 9920090507071523	Alleged procurement irregularities at King Williams Town	25 May 2011
41	Internal Forensic Investigation Report	9920100121043835 & (3/6/3/2/1/2/0910/30)	Alleged Procurement irregularities at Botshelo Water	20 May 2011
42	Internal Forensic	3/6/3/2/1/1011/17	Irregular Expenditure regarding	25 May 2011

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
	Investigation Report		the Women in Water Awards	
43	Internal Forensic Investigation Report	3/6/3/2/1/1011/21	Non submission of monthly subsidised motor vehicle log sheets	05 May 2011
44	Internal Forensic Investigation Report	3/6/3/2/1/1011/23	Alleged robbery of state laptop:	10 May 2011
45	Internal Forensic Investigation Report	3/6/3/2/1/1011/24	Investigation into the alleged procurement irregularities in appointing the supplier of newspapers	10 May 2011
46	Internal Forensic Investigation Report	3/6/3/2/1/1011/27	Investigation into the alleged recruitment irregularities and nepotism at Directorate: Revenue Management	10 May 2011
47	Internal Forensic Investigation Report	3/6/3/2/1/1112/01 & 9910110223084958	Alleged fraud, corruption and sexual harassment at Directorate: CME.	30 April 2011
48	Internal Forensic Investigation Report	9920090602081428	Alleged Unethical Behaviour at Hartbeespoort Dam	10 November 2011
49	Internal Forensic Investigation Report	9920091023120059, 9920091026113709 & 9920091127032514	Procurement irregularities	09 November 2011
50	Internal Forensic Investigation Report	9920091109113233	Investigation into the alleged abuse of government vehicle and procurement irregularities at Hartbeespoort	30 January 2012
51	Internal Forensic Investigation Report	3/6/3/2/1/1011/22	Alleged robbery of state laptop, 3G card and Cell Phone.	24 January 2012
52	Internal Forensic Investigation Report	3/6/3/2/1/1011/26	Investigation into the alleged procurement irregularities	30 January 2012
53	Internal Forensic Investigation Report	3/6/3/2/1/1011/30	Suspicion of corruption at Directorate: Transport.	30 January 2012
54	Internal Forensic Investigation Report	9920101107071549	Alleged Procurement irregularities at Chief Directorate: Infrastructure Operations	08 November 2011
55	Internal Forensic Investigation Report	9920100709092208	Alleged Corruption at Tugela Vaal	10 November 2011
56	Internal Forensic Investigation Report	3/6/3/2/1/1011/34	Alleged unethical behaviour at Directorate: Southern Operations in Port Elizabeth	23 March 2012
57	Internal Forensic Investigation Report	3/6/3/2/1/1011/33	Salary Overpayment	23 Mar 2012
58	Internal Forensic Investigation Report	3/6/3/2/1/1011/25	Un-procedural appointment of employees	29 February 2012
59	Internal Forensic Investigation Report	3/6/3/2/1/1011/29	Investigation into the alleged irregular expenditure regarding Photocopying machines	25 October 2011
60	Internal Forensic Investigation Report	9920070313022314	Non payment of telephone bills	16 February 2012

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
61	Internal Forensic Investigation Report	9920100510091323	Investigation into the alleged irregular appointment of an official at VanderKloof Dam in the Free State	30 January 2012
62	Internal Forensic Investigation Report	3/6/3/2/1/1011/31	Review of Irregular Expenditure within DWA	15 May 2011
63	Internal Forensic Investigation Report	3/6/3/2/1/1112/03	Alleged offer to purchase land	08 November 2011
63	Internal Forensic Investigation Report	3/6/3/2/1/1112/7	Investigation into the suspected fraud regarding payments made to T W M Projects	16 February 2012
64	Internal Forensic Investigation Report	3/6/3/2/1/1011/16 and	Alleged Corruption at Grootdraai Dam	23 March 2012
65	Internal Forensic Investigation Report	'9920110131023345	Failure to dismiss official whereas approval has been granted by Minister to do so	10 November 2011
66	Internal Forensic Investigation Report	'9920110313065042	Alleged unethical behaviour by an official using Avis rental car for personal gain	13 March 2012
67	Internal Forensic Investigation Report	3/6/3/2/1/1112/6	Alleged theft of departmental equipments Jericho Dam	17 February 2012
68	Internal Forensic Investigation Report	3/6/3/2/1/1112/2	Investigation into alleged irregularities- CD: Construction	10/02/2011
69	Internal Forensic Investigation Report	3/6/3/2/1/1011/32	Alleged misuse of petrol cards and fleet vehicles at Gauteng Region	12 June 2012
70	Internal Forensic Investigation Report	9920101202110033	Alleged procurement Irregularities	02 April 2012
71	Internal Forensic Investigation Report	9920100701040011	Alleged Unethical Behaviour	22 June 2012
72	Internal Forensic Investigation Report	9920101206102753	Alleged Fraud (duplicating orders)	04 April 2012
73	Internal Forensic Investigation Report	'9920110323042431	Alleged corruption.	05 June 2012
74	Internal Forensic Investigation Report	3/6/3/2/1/1112/4	S & T Advance erroneously paid to a supplier	05 June 2012
75	Internal Forensic Investigation Report	9920110602090437	Alleged procurement irregularities at King Williams Town	12 June 2012
76	Internal Forensic Investigation Report	3/6/3/2/1/1112/8	Alleged uncompetitive conduct by a vendor and unethical conduct by an official (WTE)	01 January 2013
77	Internal Forensic Investigation Report	3/6/3/2/1/1112/9	Possible corruption at Klein Maricopoort Dam in Bosveld	22 June 2012
78	Internal Forensic Investigation Report	9920100518090829	Alleged Corruption and Embezzlement breakdown	04 February 2013
79	Internal Forensic Investigation Report	9920091118093934	Alleged fraud and Tender Irregularities	12 June 2012

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
80	Internal Forensic Investigation Report	9920101005083220	Alleged submission of fraudulent Claims (Also 9920111230120730 below)	18 October 2012
81	Internal Forensic Investigation Report	9920101102010232	Alleged appointment irregularities	24 July 2012
82	Internal Forensic Investigation Report	9920101206012757	Alleged unethical behaviour and abuse of power	26 September 2012
83	Internal Forensic Investigation Report	3/6/3/2/1/1011/2	Theft of Government Goods	14 March 2013
84	Internal Forensic Investigation Report	9920110405052847	Alleged Fraud also 9920110408075339	03 September 2012
85	Internal Forensic Investigation Report	'9920110517091147 & '9920110615020209	Alleged abuse of government vehicle	02 October 2012
86	Internal Forensic Investigation Report	'3/6/3/2/1/1112/5	Request to investigate the Medical Fraud and Forgery	19 June 2012
87	Internal Forensic Investigation Report	'3/6/3/2/1/1112/10	Investigation into allegations of irregular procurement of services and tender documents that were went missing.	14 March 2013
88	Internal Forensic Investigation Report	'3/6/3/2/1/1112/14	Request to conduct investigations on former employee's current employment status	10 May 2012
89	Internal Forensic Investigation Report	'9920110917074742	Alleged Nepotism	19 December 2012
90	Internal Forensic Investigation Report	'9920111114120139	Investigation into the alleged irregular payment of a housing allowance	04 October 2012
91	Internal Forensic Investigation Report	9920111129024246	Alleged Unethical behaviour. Official was soliciting bribes from farmers in exchange for water rights	01 August 2012
92	Internal Forensic Investigation Report	'99201112207114139	Alleged Fraud. Officials fraudulently submitted claims.	10 October 2012
93	Internal Forensic Investigation Report	'9920111208115428	Alleged procurement irregularities. Officials are reported for not following procurement procedures	12 June 2012
94	Internal Forensic Investigation Report	'9920111219025532	Alleged procurement irregularities regarding contract for mechanical and electrical asset management support	24 July 2012
95	Internal Forensic Investigation Report	'9920111212121230	Alleged fraudulent overtime claims (Also 9920120207023025)	01 February 2013
96	Internal Forensic Investigation Report	'3/6/3/2/1/1112/16	Outstanding payment for catering services rendered	15 March 2012

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
97	Internal Forensic Investigation Report	'3/6/3/2/1/1112/17	Alleged fraud	12 June 2012
98	Internal Forensic Investigation Report	'3/6/3/2/1/1112/18	Awarding of Fraudulent civil Contract in Hartbeespoort	06 March 2012
99	Internal Forensic Investigation Report	'9920120112082712	Alleged procurement irregularities at Worcester	06 September 2012
100	Internal Forensic Investigation Report	'3/6/3/2/1/1112/12	Alleged performing of unauthorised work without permission	29 August 2012
101	Internal Forensic Investigation Report	'3/6/3/2/1/1112/13	Investigation into the alleged irregular appointment of personnel at Construction North	19 December 2012
102	Internal Forensic Investigation Report	'9920111230120730	Alleged Corruption. Official is reported for making payments to service providers without the PSP fulfilling their obligations	18 October 2012
103	Internal Forensic Investigation Report	3/6/3/2/1/1112/20	Alleged misuse of fuel card	14 September 2012
104	Internal Forensic Investigation Report	3/6/3/2/1/1213/1	Investigation on Bid W10248 Electronic Access	22 June 2012
105	Internal Forensic Investigation Report	3/6/3/2/1/1213/2	Request to investigate appointment of valuers appointed by Public Works to value properties for Expropriation purposes for DWA	14 March 2013
106	Internal Forensic Investigation Report	3/6/3/2/1/1213/3	Request to Audit Ex-Ikangala Water Employees leave Files	24 July 2012
107	Internal Forensic Investigation Report	3/6/3/2/1/1213/5	Unauthorised use of government vehicle	26 September 2012
108	Internal Forensic Investigation Report	3/6/3/2/1/1213/6	Alleged Corruption at CD: International Relations	04 February 2013
109	Internal Forensic Investigation Report	9920120207023025	Alleged fraudulent overtime claim (Also 9920111212121230)	01 February 2013
110	Internal Forensic Investigation Report	9920120627044753	Alleged abuse of state resources. Official residing at Centurion Hotel at State expense	19 December 2012
111	Internal Forensic Investigation Report	9920120402115219	Alleged fraud	N/A
112	Internal Forensic Investigation Report	3/6/3/2/1/1213/10	Investigations into the alleged irregular expenditure incurred on payments of Media7 active branding through GCIS	13 March 2013

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
113	Internal Forensic Investigation Report	3/6/3/2/1/1213/12	Alleged fraud and possible conflict of interest at Construction	12 September 2012
114	Internal Forensic Investigation Report	9920120731020251	Alleged fraudulent order regarding the procurement of brush cutters and chainsaws	13 March 2013
115	Internal Forensic Investigation Report	9920101221094027	Investigation into the alleged procurement irregularities and the alleged irregular appointment of an official at Construction East	18 November 2013
116	Internal Forensic Investigation Report	'9920110223105213	Alleged corruption officials working with travel agent to submit fraudulent claims	12 April 2013
117	Internal Forensic Investigation Report	'3/6/3/1/1112/11	Investigation into the alleged theft of assets at Chief Directorate: Construction (Levubu)	z
118	Internal Forensic Investigation Report	'9920111129052329	Alleged irregular procurement of promotional materials at KwaZulu Natal Regional Office	26 August 2013
119	Internal Forensic Investigation Report	'9920111222104002	Alleged Theft. Official is reported for theft of paint from department	13 May 2013
120	Internal Forensic Investigation Report	'3/6/3/2/1/1112/15	Investigation into the alleged irregular appointment of personnel at the Gauteng Region	06 May 2013
121	Internal Forensic Investigation Report	3/6/3/2/1/1213/4	Alleged Corruption and Nepotism at Drakenstein	10 October 2013
122	Internal Forensic Investigation Report	9920120223125440	Alleged misuse of funds and procurement irregularities	13 May 2013
123	Internal Forensic Investigation Report	9920120209020422	Alleged nepotism and racism at Construction East	17 July 2013
124	Internal Forensic Investigation Report	9920120403050329	Investigation into the alleged irregular appointment of personnel and the alleged fraudulent use of official flight tickets to undertaken private trips	18 July 2013
125	Internal Forensic Investigation Report	3/6/3/2/1/1213/08	Investigation into the alleged procurement irregularities regarding tender number WQ9732 at Hartbeespoort dam and surrounding areas	17 April 2013
126	Internal Forensic Investigation Report	3/6/3/2/1/1213/9	Request to conduct investigations on emergency delegations at the Central Cluster	30 May 2013
127	Internal Forensic Investigation Report	3/6/3/2/1/1213/13	Alleged Fraudulent completion of probation Reports for an official at Directorate: Southern Operations Eastern Cape	30 May 2013

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
128	Internal Forensic Investigation Report	3/6/3/2/1/1213/14	Alleged irregularities and improper conduct regarding the awarding of tenders at Directorate: Resource Quality Services	03 Jun 2013
129	Internal Forensic Investigation Report	3/6/3/2/1/1213/16	Alleged outstanding pension Pay-out	30 May 2013
130	Internal Forensic Investigation Report	9920121221053952	Alleged Nepotism and Bribery	19 Sep 2013
131	Internal Forensic Investigation Report	3/6/3/2/1/1213/17	Investigation into the alleged corruption and tender irregularities (Security Tenders)	27 Nov 2013
132	Internal Forensic Investigation Report	3/6/3/2/1/1213/19	Investigation into the fraudulent water use authorization	12 Aug 2013
133	Internal Forensic Investigation Report	9920121024022100	Alleged Unethical Behaviour Mr Pule Banda	15 Aug 2013
134	Internal Forensic Investigation Report	9920130225125516	Alleged procurement irregularities	17 Jul 2013
135	Internal Forensic Investigation Report	3/6/3/2/1/1314/01	Investigation into the alleged nepotism at Groblersdal Area Office (Northern Operations)	06 Sep 2013
136	Internal Forensic Investigation Report	3/6/3/2/1/1314/04	Alleged corruption and theft at TUGELA Mechanical Workshop	28 Jan 2014
137	Internal Forensic Investigation Report	3/6/3/2/1/1314/05	Investigation into the alleged procurement irregularities at the Roodeplaat Training Centre	17 Feb 2014
138	Internal Forensic Investigation Report	9920111010020506	Investigation into the alleged fraud and misappropriation of fund at Directorate: Resource Planning Systems	11 December 2013
139	Internal Forensic Investigation Report	3/6/3/2/1/1314/07	Investigation into the alleged procurement irregularities and delivery of defective furniture at the Mpumalanga Regional Office	17 Feb 2014
140	Internal Forensic Investigation Report	9920130301103859	Alleged irregular appointment of official	19 Sep 2013
141	Internal Forensic Investigation Report	3/6/3/2/1/1314/10	Investigation into the alleged robbery of 15 missing cellular phones	19 March 2013
142	Internal Forensic Investigation Report	3/6/3/2/1/1314/11	Investigation into the alleged irregular expenditure regarding the relocation of official	20 November 2013
143	Internal Forensic Investigation Report	9920130308020913	Alleged discrimination and racism at Vaal Dam	10 Mar 2014
144	Internal Forensic Investigation Report	9920130308020913	Alleged corruption	29 October 2013
145	Internal Forensic Investigation Report	9920130709025825	Investigation into the alleged tender irregularities at the Roodeplaat Training Centre	17 Feb 2014
146	Internal Forensic Investigation Report	9920130115032410	Non Payment of invoices Tender Number B12B100100320	26 Mar 2014
147	Internal Forensic Investigation Report	9920111129024246	Alleged unethical behaviour at Kimberly	15 October 2013

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
148	Internal Forensic Investigation Report	9920130419114816	Alleged corruption at De hoop Dam	20 Mar 2013
149	Internal Forensic Investigation Report	9920130419101205	Alleged appointment irregularities at De Hoop Dam	20 Mar 2013
150	Internal Forensic Investigation Report	3/6/3/2/1/1314/13	Investigation into the alleged submission of fraudulent Subsistence and Travel Claims	11 Dec 2013
151	Internal Forensic Investigation Report	3/6/3/2/1/1314/14	Investigation into the alleged irregular appointment of service provider to render security services at Hartbeespoort Dam	11 Mar 2014
152	Internal Forensic Investigation Report	3/6/3/2/1/1213/15	Investigation into the suspected theft of fuel on government vehicles	23 May 2014
153	Internal Forensic Investigation Report	3/6/3/2/1/1213/18	Investigation into the alleged theft of fuel at Southern Cluster	23 May 2014
154	Internal Forensic Investigation Report	3/6/3/2/1/1314/06	Investigation into allegations of quotation rigging or collusive bidding at Construction North	18 November 2014
155	Internal Forensic Investigation Report	3/6/3/2/1/1314/08	Investigation into the alleged irregular expenditure incurred regarding the repairs of plant, small tools and electrical tool	23 May 2014
156	Internal Forensic Investigation Report	3/6/3/2/1/1314/12	Investigation into the alleged maladministration and gross corruption activities at International Water Cooperation	31 March 2015
157	Internal Forensic Investigation Report	9920130508105337	Investigation into the alleged procurement irregularities at Somerset East	18 Sep 2014
158	Internal Forensic Investigation Report	9920130906082657'	Investigation into the alleged irregular appointment of an official to the position of Chief Provisioning Officer at Construction East	30 Jun 2014
159	Internal Forensic Investigation Report	9920130903120457'	Investigation into the alleged gross misconduct and corruption regarding the recruitment process	24 Jun 2014
160	Internal Forensic Investigation Report	9920130806092530	Investigation into the alleged unauthorised removal of a state printer and victimisation at the Human Resources Section	30 Jun 2014
161	Internal Forensic Investigation Report	9920130806100332	Investigation into the alleged employment irregularities and nepotism at the Human Resources Section	23 May 2014
162	Internal Forensic Investigation Report	3/6/3/2/1/1314/15	Investigation into the alleged misuse of Phakisa Vehicle at the Compliance Monitoring and Enforcement Section	08 Sep 2014
163	Internal Forensic	3/6/3/2/1/1314/17	Investigation into the suspected	25 Nov 2014

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
	Investigation Report		theft /Loss of state money at Directorate: Southern Operations	
164	Internal Forensic Investigation Report	9920131104122257	Investigation conducted into the alleged submission of fraudulent travel claims	24 Apr 2014
165	Internal Forensic Investigation Report	3/6/3/2/1/1314/18	Investigation into the alleged misuse of the Women in Water prize money at KwaZulu Natal	14 May 2014
166	Internal Forensic Investigation Report	3/6/3/2/1/1314/21	Investigation into the alleged irregular appointment of staff at SCM Section: Main Account	25 Feb 2015
167	Internal Forensic Investigation Report	3/6/3/2/1/1314/22	Investigate into the alleged irregular SCM processes followed after closure of BID WO776 WTE for the supply of stainless steel	21 Jul 2014
168	Internal Forensic Investigation Report	3/6/3/2/1/1314/23	Investigation into the alleged irregularities within the tender processes	25 Sep 2014
169	Internal Forensic Investigation Report	3/6/3/2/1/1314/26	Investigation into the alleged fraudulent hotel booking	26 Sep 2014
170	Internal Forensic Investigation Report	9920131128083556	Investigation into the alleged theft of Bullets at Free State	30 Jan 2015
171	Internal Forensic Investigation Report	9920131127011433	Investigation into the alleged irregular appointment of personnel at Tugela Vaal	09 Sep 2014
172	Internal Forensic Investigation Report	9920140110025716	Investigation in the alleged corruption by official at Limpopo regional Office	25 Feb 2015
173	Internal Forensic Investigation Report	3/6/3/2/1/1415/01	Investigation into the alleged unauthorised registration of users on Blue and Green Drop System	08 Sep 2014
174	Internal Forensic Investigation Report	3/6/3/2/1/1415/02	Investigation into the irregular payment that was made to the Local Municipality	05 May 2015
175	Internal Forensic Investigation Report	9920140205055740	Investigation into the alleged appointment of ghost employees	30 Sep 2014
176	Internal Forensic Investigation Report	9920140204103755	Investigation into the alleged irregular appointment of personnel to the positions of Deputy Director: Water Sector Support	06 Aug 2014
177	Internal Forensic Investigation Report	3/6/3/2/1/1415/03	Investigation into the alleged irregularities with the procurement process at Directorate: Construction Equipment	25 Sep 2014
178	Internal Forensic Investigation Report	3/6/3/2/1/1415/04	investigation into the alleged misuse of Avis Vehicle by officials at Directorate: Gender	25 Feb 2015
179	Internal Forensic Investigation Report	3/6/3/2/1/1415/06	Investigation into the alleged corruption in the Office of the Chief Information Officer	17 Mar 2015
180	Internal Forensic	9920140507120254	investigation into the alleged non-	25 Nov 2014

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
	Investigation Report		compliance with the housing policy at Jericho Dam	
181	Internal Forensic Investigation Report	9920140504024301	Investigation into the alleged abuse of state vehicle at Jericho Dam	23 Dec 2014
182	Internal Forensic Investigation Report	3/6/3/2/1/1415/08	Investigation into the alleged theft of stock that was delivered to the Warehouse	31 Mar 2015
183	Internal Forensic Investigation Report	3/6/3/2/1/1415/10	Investigation into the irregularities regarding the contract that was awarded to a service provider	18 Nov 2014
184	Internal Forensic Investigation Report	3/6/3/2/1/1415/17	Preliminary investigation into the alleged irregularities within the Department	20 Mar 2015
185	Internal Forensic Investigation Report	3/6/3/2/1/1314/09	Investigation into assets that went missing at Vaal Dam	14 Aug 2015
186	Internal Forensic Investigation Report	9920131024021612	Investigation into the alleged appointment irregularities at Standerton (Construction East)	09 Sep 2015
187	Internal Forensic Investigation Report	3/6/3/2/1/1314/16	Investigation into the alleged inflation of invoices at CD: Construction Management (Levubu)	10 Sep 2015
188	Internal Forensic Investigation Report	3/6/3/2/1/1314/19	Investigation into the alleged procurement irregularities at Standerton	29 Sep 2015
189	Internal Forensic Investigation Report	9920131209103528 & 9920131219013606	Investigation into the alleged misuse of state vehicle at Usuthu Dam in Amsterdam	24 Jun 2015
190	Internal Forensic Investigation Report	3/6/3/2/1/1415/07	Investigation into the alleged procurement irregularities and bribery at Chief Directorate: Supply Chain Management	24 Jun 2015
191	Internal Forensic Investigation Report	9920140520095324	Investigation into the alleged submission of fraudulent overtime claims	24 Jun 2015
192	Internal Forensic Investigation Report	9920140513120154	Investigation into the alleged fraudulent application for a cellular phone contract at KwaZulu Natal Regional Office	04 Sep 2015
193	Internal Forensic Investigation Report	9920140411015406	Investigation into the alleged misuse of state vehicle at Deneysville	28 Oct 2015
194	Internal Forensic Investigation Report	9920140619035224	Investigation into the alleged irregular appointment of personnel at Vanderkloof Dam	08 Mar 2016
195	Internal Forensic Investigation Report	9920140729095848	Investigation into the alleged racial discrimination at Deneysville	28 Oct 2015

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
196	Internal Forensic Investigation Report	3/6/3/2/1/1415/15	Investigation into the discrepancies between goods delivered and goods paid for (contract number W0653)	19 Feb 2016
197	Internal Forensic Investigation Report	9920141027040220	Investigation into the alleged authorised expenditure regarding the Water Project in Katanga, DRC	10 Jul 2015
198	Internal Forensic Investigation Report	9920140901031033	Investigation into the alleged tender irregularities at Construction North	25 Jan 2016
199	Internal Forensic Investigation Report	9920141127042841	Investigation into the alleged submission of fraudulent subsistence and travel claims at Deneysville	23 Jun 2015
200	Internal Forensic Investigation Report	9920141002022544	Investigation into the alleged procurement irregularities at Head Office	27 Jan 2016
201	Internal Forensic Investigation Report	9920141027024213	Investigation into the alleged misuse of State vehicle at Directorate Resource Quality Services	07 Mar 2016
202	Internal Forensic Investigation Report	9920141027102417	Investigation into to the alleged procurement irregularities at Tzaneen	25 Jan 2016
203	Internal Forensic Investigation Report	3/6/3/2/1/1516/01	Investigation into the alleged irregular procurement of goods at Tugela Vaal	10 Jul 2015
204	Internal Forensic Investigation Report	3/6/3/2/1/1516/03	Investigation into the possible collusive bidding between the two companies	14 Oct 2015
205	Internal Forensic Investigation Report	3/6/3/2/1/1415/16	Investigation into the fraudulent appointment of a service provider (W0781 WTE)	24 Jun 2015
206	Internal Forensic Investigation Report	3/6/3/2/1/1516/07	Investigation into the alleged unauthorised reallocation of RBIG funds at Western Cape Region	23 Jun 2015
207	Internal Forensic Investigation Report	9920150213124534	Investigation into the fraudulent orders that were issued to a service provider	11 Feb 2016
208	Internal Forensic Investigation Report	9920140703023819	Investigation into the alleged submission of fraudulent travel claims at Potchefstroom	27 Jan 2016
209	Internal Forensic Investigation Report	3/6/3/2/1/1516/09	Investigation into the payment that was erroneously paid for Contract WQ4893	15 Oct 2015
210	Internal Forensic Investigation Report	3/6/3/2/1/1516/10	Investigation into the fraudulent activities within the Gauteng Regional Office.	08 Feb 2016
211	Internal Forensic	3/6/3/2/1/1516/11	Investigation into the allegations	07 Mar 2016

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
	Investigation Report		of conflict of interest and pursuing private interests without permission.	
212	Internal Forensic Investigation Report	3/6/3/2/1/1516/12	Investigation to the allegation of corruption in awarding of tenders by Limpopo Regional Office	21 Apr 2016
213	Internal Forensic Investigation Report	3/6/3/2/1/1516/14	Investigation into allegations of misconduct at Chief Directorate: Transformation	18 Mar 2016
214	Internal Forensic Investigation Report	3/6/3/2/1/1516/15	Analysis on the usage of PSPs at Directorate: Water Services Macro Planning	26 Oct 2015
215	Internal Forensic Investigation Report	3/6/3/2/1/1516/16	Investigation into the payment of water services planning under the RBIG	22 Dec 2015
216	Internal Forensic Investigation Report	9920151103123605	Investigation conducted into the alleged fraudulent request for a quotation that was issued to a service provider	25 Jan 2016
217	Internal Forensic Investigation Report	3/6/3/2/1/1516/17	Investigation into the alleged irregular extension of scope of the Project relating to tender WP0485WTE	26 Feb 2016
218	Internal Forensic Investigation Report	3/6/3/2/1/1516/20	Investigation into the allegations of fraud at the Account Payable Employee	10 Feb 2016
219	Internal Forensic Investigation Report	9920150421113953	Investigation into the fraudulent purchase orders that were issued to a service provider	08 May 2016
220	Internal Forensic Investigation Report	3/6/3/2/1/1516/21	Investigation into the fraudulent acceptance letter that was alleged issued by an official of the Department to a service provider	22 Feb 2016
221	Internal Forensic Investigation Report	3/6/3/2/1/1516/22	Investigation into the shortage of store items	31-Mar-15
222	Internal Forensic Investigation Report	9920140219105931	Investigation into the alleged corruption at NWIR	25 Jun 2016
223	Internal Forensic Investigation Report	3/6/3/2/1/1415/13	Investigation into the alleged forgery of procurement documents at the Supply Chain Management section at Construction North (Tzaneen)	09 Sep 2016
224	Internal Forensic Investigation Report	9920140808125212	Investigation into the alleged unethical behaviour by an official at the George Area Office	22 Jun 2016
225	Internal Forensic Investigation Report	3/6/3/2/1/1415/14	Investigation into alleged fraudulent hotel booking at Directorate: Strategic Support (Policy and Information)	30 May 2016
226	Internal Forensic Investigation Report	9920110504010027	Investigation into the alleged failure to terminate an official following his resignation	06 Jun 2016

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
227	Internal Forensic Investigation Report	3/6/3/2/1/1516/02	Payment made to service providers after their contracts had expired on the 15 November 2014	06 Jul 2016
228	Internal Forensic Investigation Report	3/6/3/2/1/1516/04	Investigation into the possible misconduct of an unauthorised expenditure of R268 811.43 incurred through the extension of the Contract period of Nema Consulting without following prescribed procurement procedure	05 Jul 2015
229	Internal Forensic Investigation Report	9920150419011145 & 9920150423115023	Investigation into the alleged misuse of state vehicle in Mpumalanga	16 Sep 2016
230	Internal Forensic Investigation Report	9920160114100938	Investigation into the alleged breach of Policy by a Contractor who signed the VA2 for R600.000.00	06 Jun 2016
231	Internal Forensic Investigation Report	3/6/3/2/1/1516/19	Investigation into alleged irregular appointment of workers for pipeline project	21 Apr 2016
232	Internal Forensic Investigation Report	9920151105092351	Investigation into the alleged abuse of state vehicle at Deneysville	25 Jul 2016
232	Internal Forensic Investigation Report	9920160127112420	Investigation into the alleged submission of fraudulent Claims at Cradock	20 Sep 2016
233	Internal Forensic Investigation Report	9920160122035706	Investigation into the alleged theft at Vaal Dam	30 May 2016
234	Internal Forensic Investigation Report	3/6/3/2/1/1516/23	Investigation into the non-payment for work undertaken in a project linked to the War on Leaks Project	02 Jun 2016
235	Internal Forensic Investigation Report	3/6/3/2/1/1516/24	Investigation into the alleged fraudulent acceptance letter that was issued to a supplier	18 Apr 2016
236	Internal Forensic Investigation Report	3/6/3/2/1/1617/01	Investigation into the awarding of bid to bidder who scored the lowest	12 Sep 2016
237	Internal Forensic Investigation Report	3/6/3/2/1/1617/02	Investigation into the lease of Prorom Building in Mpumalanga	31 Aug 2016
238	Internal Forensic Investigation Report	9920160314113924	Investigation into the alleged misuse of state assets	25 Jul 2016
239	Internal Forensic Investigation Report	3/6/3/2/1/2/1617/02	Investigation into the alleged corruption	09 Jun 2016
240	Internal Forensic Investigation Report	9920160120023216	Investigation into the alleged procurement irregularities at Potchefstroom	30 May 2016
241	Internal Forensic Investigation Report	9920160419122859	Investigation into the alleged misuse of state resources	12 Jul 2016
242	Internal Forensic Investigation Report	9920160425031554	Investigation into the alleged misuse of Official Vehicle	15 May 2016

No	Name (aa)	Case reference number	Subject Matter (bb)	Date of Conclusion (cc)
243	Internal Forensic Investigation Report	9920160524104100	Investigation into the alleged corruption at Potchefstroom	12 Jul 2016
244	Internal Forensic Investigation Report	9920160510024222	Investigation into the alleged misuse of state resources at Koppies Dam	31 Aug 2016
245	Internal Forensic Investigation Report	3/6/3/2/1/1617/05	Investigation into the fraudulent request for a quotation that was issued to Nomi Projects	27 Jul 2016

Appendix B

External Forensic Reports

No	Name (aa)	Case reference number	Subject matter (bb)	Date of Conclusion (cc)
1	GFIA report	99 20090519 101924	Alleged reckless driving	01 July 2011
2	GFIA report	9920091207030721	Procurement irregularities	01 July 2011
3	GFIA report	9920100409113046	Alleged Procurement Irregularities	01 July 2011
4	GFIA report	9920100512023430	Alleged Unethical Behaviour	01 July 2011
5	GFIA report	9920100616060609	Alleged abuse of Government Owned Vehicle	01 July 2011
6	GFIA report	3/6/3/2/1/0910/1	Fraudulent Actions Licences	01 July 2011
7	GFIA report	3/6/3/2/1/0910/2	Labour Relations Concerns	01 July 2011
8	GFIA report	3/6/3/2/1/0910/4	Altering of water course	01 July 2011
9	GFIA report	3/6/3/2/1/0910/19	Procurement Irregularities	01 July 2011
10	GFIA report	3/6/3/2/1/1011/1	Corruption Allegations	01 July 2011
11	GFIA report	3/6/3/2/1/1011/2	Theft of Government Goods	01 July 2011
12	GFIA report	99 20090420022811	Alleged corruption at Head Office	01 July 2011
13	GFIA report	99 20090824095823	Alleged nepotism at Vaal Dam	01 July 2011
14	GFIA report	99 20090304091333	Alleged theft of water at Clan William	01 July 2011
15	GFIA report	9 920 091 207 030 720	Investigation into the alleged procurement irregularities	01 July 2011
16	GFIA report	3/6/3/2/1(1/2009)	Fraudulent Actions Licences at Gauteng Region	01 July 2011
17	GFIA report	99 20090504021341	Investigation into the alleged corruption at Nelspruit	01 July 2011
18	GFIA report	99 20091124085637	Alleged corruption at Nelspruit	01 July 2011
19	GFIA report	3/6/3/2/1/1011/5	Concerns regarding the Harties Metsi A me Program	01 July 2011
20	GFIA report	99 20091014014052	Investigation into the alleged unethical behaviour at Hartbeespoort Dam	01 July 2011
21	GFIA report	99 20080331102532	Theft of Government property	01 July 2011
22	GFIA report	9920091020083109	Investigation into the alleged procurement Irregularities at Gauteng Region	01 July 2011
23	GFIA report	99 20090324094011	Alleged procurement irregularities at Head Office	01 July 2011
24	GFIA report	3/6/3/2/1/1112/21	Investigation into the alleged abuse of vehicles	01 July 2014
25	GFIA report	3/6/3/2/1/1011/9	Alleged Corruption and pipe failures Nandoni and Inyaka Dam In-house	22 Nov 2010

No	Name (aa)	Case reference number	Subject matter (bb)	Date of Conclusion (cc)
26	PWC report	3/6/3/2/1/1415/12	Investigation to determine the extent of fraudulent activities within Financial Management	15 Dec 2014
27	PWC report	3/6/3/2/1/1516/13	Investigation into the management of construction equipment	11 May 2016
28	Mazar Forensic Report	3/6/3/2/1/1516/18	Investigation into the alleged fraudulent activities and theft of mobile device	30 May 2016

TCTA Internal Investigations:

Financial Year	Subject Matter of Forensic Report	Date of Conclusion
2008/2009	<ol style="list-style-type: none"> 1. CEO's Salary (Ms Mthembu) –; 2. Cell phone Allowance –; 3. Mr. Tutu Maluleke's Incident and Ms Halima Nazeer's incident – ; 4. COO's travel costs for study purposes – ; 5. Transgression of FICA requirements – ; 6. CFO's invitation to Spa –. 	14 May 2009
2009/2010	<ol style="list-style-type: none"> 1. Chief Treasury Dealer has been appointed without the correct qualifications for the job 2. Non-compliance with company procedures by head of communications 	03 July 2009 03 May 2010
2010/2011	<ol style="list-style-type: none"> 1. The purchase of 300 Bafana Bafana soccer jerseys. 2. Allegation regarding the COO rarely being in office and incurring large unjustifiable cost to TCTA. 	28 June 2010 17 June 2010
2012/2013	<ol style="list-style-type: none"> 1. Investigation into allegations of irregularities raised against a senior staff member (PwC) 2. Procurement Review (Independent Consultant) 	06 June 2012 4 December 2012
2013/2014	<ol style="list-style-type: none"> 1. Suspected Sick Leave Abuse 2. Procurement of Site Vehicles Investigation 3. Irregularities involving TCTA cell phone contracts (PwC) 	13 May 2013 30 May 2013 14 May 2013
2014/2015	<ol style="list-style-type: none"> 1. Unaccounted Tender Fees 2. Asset Verification (SnG) 3. Investigation into SED Projects for the Financial Year (PwC) 	1 June 2014 30 June 2014 29 August 2014
2016/2017	<ol style="list-style-type: none"> 1. Alleged Irregular executive appointments 2. Alleged Theft of Company Assets (SnG) 	31 August 2016 27 July 2016